



43

PATENT  
Docket No. 1400-003

THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

William J. Roberts

)  
) Preliminary  
) Group Art Unit: 1614  
)  
) Examiner: Unknown

Entitled: "BIOAVAILABLE PRODRUGS OF  
ANDROGENIC STEROIDS AND RELATED  
METHOD"

Serial No.: 10/053,345

Filed: January 16, 2002

**BOX MISSING PARTS**

Assistant Commissioner for Patents  
U.S. Patent and Trademark Office  
Washington, D.C. 20231

**RESPONSE TO NOTICE TO FILE MISSING PARTS  
OF NONPROVISIONAL APPLICATION**

Applicant hereby responds to the Notice to File Missing Parts of  
Nonprovisional Application mailed on February 19, 2002. Attached hereto and  
submitted herewith is a Declaration and Power of Attorney form executed by the  
inventor, and the return copy of the Notice.

A Petition for a one-month extension of time is being filed concurrently with  
this Response. A check for \$ 759.00 is attached to cover the filing fee and  
declaration surcharge. An additional check for \$ 55.00 is attached to cover the  
extension of time fee.

**PTO FEE TRANSMITTAL**  
**for FY 2002**

Patent fees are subject to annual revision.

**TOTAL AMOUNT OF PAYMENT (\$)** 814

**Complete if Known**

Application Number	10/053,345
Filing Date	January 16, 2002
First Named Inventor	William J. Roberts
Examiner Name	Unknown
Group / Art Unit	1614
Attorney Docket No.	1400-003

**METHOD OF PAYMENT (check all that apply)**

☒ Check ☐ Credit card ☐ Money ☐ Other ☐ None

☐ Deposit Account:

Deposit Account Number: 501324

Deposit Account Name: Sullivan Law Group

The Commissioner is authorized to: (check all that apply)

☒ Charge fee(s) indicated below ☐ Credit any overpayments

☒ Charge any additional fee(s) during the pendency of this application

☐ Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.

**FEE CALCULATION**

**1. BASIC FILING FEE**

Large Entity	Small Entity	Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description	Fee Paid
		101	740	201	370	Utility filing fee	370
		106	330	206	165	Design filing fee	
		107	510	207	255	Plant filing fee	
		108	740	208	370	Reissue filing fee	
		114	160	214	80	Provisional filing fee	
<b>SUBTOTAL (1)</b>							<b>(\$)</b> 370

**2. EXTRA CLAIM FEES**

Total Claims	Independent Claims	Multiple Dependent	Extra Claims	Fee from below	Fee Paid
56	2		36	9	324
			0	42	0
					0

Large Entity	Small Entity	Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description	Fee Paid
		103	18	203	9	Claims in excess of 20	
		102	84	202	42	Independent claims in excess of 3	
		104	280	204	140	Multiple dependent claim, if not paid	
		109	84	209	42	** Reissue independent claims over original patent	
		110	18	210	9	** Reissue claims in excess of 20 and over original patent	
<b>SUBTOTAL (2)</b>							<b>(\$)</b> 324

\*\*or number previously paid, if greater; For Reissues, see above

**FEE CALCULATION (continued)**

Large Entity	Small Entity	Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description	Fee Paid
		105	130	205	65	Surcharge - late filing fee or oath	65
		127	50	227	25	Surcharge - late provisional filing fee or cover sheet.	
		139	130	139	130	Non-English specification	
		147	2,520	147	2,520	For filing a request for reexamination	
		112	920*	112	920*	Requesting publication of SIR prior to Examiner action	
		113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action	
		115	110	215	55	Extension for reply within first month	55
		116	400	216	200	Extension for reply within second month	
		117	920	217	460	Extension for reply within third month	
		118	1,440	218	720	Extension for reply within fourth month	
		128	1,960	228	980	Extension for reply within fifth month	
		119	320	219	160	Notice of Appeal	
		120	320	220	160	Filing a brief in support of an appeal	
		121	280	221	140	Request for oral hearing	
		138	1,510	138	1,510	Petition to institute a public use proceeding	
		140	110	240	55	Petition to revive - unavoidable	
		141	1,280	241	640	Petition to revive - unintentional	
		142	1,280	242	640	Utility issue fee (or reissue)	
		143	460	243	230	Design issue fee	
		144	620	244	310	Plant issue fee	
		122	130	122	130	Petitions to the Commissioner	
		123	50	123	50	Processing fee under 37 CFR 1.17 (q)	
		126	180	126	180	Submission of Information Disclosure Stmt	
		581	40	581	40	Recording each patent assignment per property (times number of properties)	
		146	740	246	370	Filing a submission after final rejection (37 CFR § 1.129(a))	
		149	740	249	370	For each additional invention to be examined (37 CFR § 1.129(b))	
		179	740	279	370	Request for Continued Examination (RCE)	
		169	900	169	900	Request for expedited examination of a design application	
Other fee (specify) _____							
*Reduced by Basic Filing Fee Paid							
<b>SUBTOTAL (3)</b>							<b>(\$)</b> 120

**SUBMITTED BY**

Name (Print/Type)	Stephen T. Sullivan	Registration No. Attorney/Agent)	32,444	Telephone	(602) 956-6161
Signature				Date	May 17, 2002

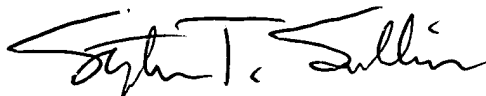
**WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.**

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

If there are any other fees due in connection with this application, please charge the fees to our Deposit Account No. 501324.

Dated: May 17, 2002

Respectfully submitted,



Stephen T. Sullivan

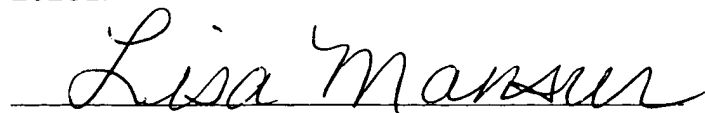
Reg. No. 32,444

**CERTIFICATE OF EXPRESS MAILING**

Express Mail Label No. EL 889189749 US

Date of Deposit: May 17, 2002

I hereby certify that this Response to Notice to File Missing Parts of Nonprovisional Application with attached return copy of the Notice and Declaration and Power of Attorney are being deposited with the U.S. Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. § 1.10 on the date indicated above and is addressed to BOX MISSING PARTS, Assistant Commissioner for Patents, U.S. Patent and Trademark Office, Washington, D.C. 20231.





**CERTIFICATE OF EXPRESS MAILING**

Express Mail Label No. EL 889189749 US

Date of Deposit May 17, 2002

I hereby certify that this Fee Transmittal for  
FY 2002 form (2 copies) and check are being  
deposited with the U.S. Postal Service

"Express Mail Post Office to Addressee"

~~service under 37 C.F.R. § 1.10 on the date~~

indicated above and is addressed to BOX

MISSING PARTS, Assistant Commissioner for  
Patents, U.S. Patent and Trademark Office,  
Washington, D.C. 20231.

Lisa Mansur



**CERTIFICATE OF EXPRESS MAILING**

Express Mail Label No. EL 889189749 US

Date of Deposit: May 17, 2002

I hereby certify that this Petition for Extension of Time and check are being deposited with the U.S. Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. § 1.10 on the date indicated above and is addressed to Assistant Commissioner for Patents, U.S. Patent and Trademark Office, Washington, D.C. 20231.

Lisa Mann



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/053,345	01/16/2002	William J. Roberts	1400-003

CONFIRMATION NO. 5831

## FORMALITIES LETTER



\*OC000000007496018\*

Stephen T. Sullivan  
SULLIVAN LAW GROUP  
Suite 120  
5060 North 40th Street  
Phoenix, AZ 85018-2140

Date Mailed: 02/19/2002

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

## Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 370 to complete the basic filing fee for a small entity.*
- Total additional claim fee(s) for this application is \$324.  
    ■ \$324 for 36 total claims over 20.
- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 759.

Void date: 05/21/2002 HHARZ11

05/21/2002 HHARZ11 00000001 10053345

01 FC:201 -370.00 UP

02 FC:203 -324.00 UP

03 FC:205 -65.00 UP

A copy of this notice MUST be returned with the reply.370.00 OP  
324.00 OP  
65.00 OP

00000002 10053345

HHARZ11

05/21/2002

01 FC:201

02 FC:203

03 FC:205

Ruth BERHA

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

05/21/2002 HHARZ11 00000001 10053345

01 FC:201

370.00 OP

02 FC:203

324.00 UP

03 FC:205

65.00 OP